# VDM recursion in Isabelle/HOL

Leo Freitas and Peter Gorm Larsen 21st Overture Workshop, Lubeck March 2023

#### Model based design with VDM

#### Modelling aspects

- Abstract data types with invariant and ordering,
- Function definitions with specification (pre/post/measures),
- State rich definitions with statements, variable frames and operations.

#### • Symbolic execution

- Interpretation of mathematical properties of systems (e.g. possibly with OO and RT features)
- Limited type scope (e.g. mostly without explicit type bindings; no "x : nat")
- Testing:
  - Combinatorial traces
  - Code coverage
- Verification:
  - PO generation
  - Quick check (PO disproof) within given domains
  - Various proof support attempts since Jones' Mural theorem prover halted
  - No symbolic counter example (no model-based / unbounded counter examples)

## VDM proof support history since Mural (1991)

- 1. Maharaj & Bicarregui (shallow) embedding of VDM in PVS (1998)
  - https://www.researchgate.net/publication/2510095 On the Verification of VDM Specification and Refinement with PVS
- 2. Karabotsos (deep) embedding of VDM (LPF) in Isabelle/HOL (2005)
  - <u>https://spectrum.library.concordia.ca/id/eprint/8505/1/MR10289.pdf</u>
- 3. Freitas & Woodcock soundness argument/result for VDM proofs in other logics (2008)
  - Unifying Theories of Undefinedness [https://doi.org/10.3233/978-1-58603-976-9-311]
  - Linking Z and VDM: (Z semi-classical) logic prover for VDM (LPF) theorems [https://ieeexplore.ieee.org/document/4492887]
- 4. Vermolen, Hooman & Larsen (shallow) embedding of VDM in HOL (2010)
  - Hand-crafted proof tactics [https://dl.acm.org/doi/10.1145/1774088.1774608]
- 5. Freitas & Whiteside (shallow) embedding of VDM in Isabelle/HOL (2014)
  - VDM theorems proved in Z and Isabelle/HOL [https://link.springer.com/chapter/10.1007/978-3-319-06410-9\_20]
- 6. Freitas automated (shallow) embedding of VDM in Isabelle/HOL with proof crafting support (2021)
  - VDM toolkit project [<u>https://github.com/leouk/VDM\_Toolkit</u>]

#### VDM to Isabelle translation strategy 101

- Translation strategy started (2010) as part of the AI4FM project (2010-2014)
  - Attempt to identify proof strategy reuse across provers (Isabelle, ZEves) and models (VDM and Z)
  - Technical report on a translation strategy for most of VDM as shallow embedding
  - Undergraduate course on translating VDM to Isabelle (manually) (2012-2022)
- VDM LPF
  - Pragmatic approach similar to VDMJ's: a L-R logic (e.g. subset of LPF) with "possible" typing rules
- VDM data types
  - Sets, sequences, maps and records map almost directly to Isabelle libraries
  - Numeric types cannot be directly translated given VDM widening-type rules (e.g. "0 x" becomes int for x:nat)
  - Set and sequence comprehensions are easy; map comprehension is fiendish
- VDM functions
  - Direct translation as Isabelle definitions for non-recursive functions
  - Recursive functions support quite limited at first (e.g. only for VDM sequences)

### VDM Toolkit Project

#### https://github.com/leouk/VDM\_Toolkit

- Initiative to coalesce various VDM-related developments (e.g. libraries, experiments, etc.) since 2010
- VDM to Isabelle translator (vdm2isa plugin)
  - VDMToolkit : Isabelle proof engineering and support for VDM translation and proof automation
  - exu : VDM style checker and specification reordering (see next talk)
    - vdm2isa : Syntax-driven VDM to Isabelle translator
    - isapog : VDM PO translator and proof script / strategy predictor
  - vdm2isa-lsp : VSCode LSP (editor) + DAP (debugger) integration of plugins
- VDM ANTLR

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- VDM syntax formal definition for parsing, printing, translations, etc.
- VDM Libraries
  - CSV, ISQ, Order, Z-Relations, Dense ranges, Logging, Binary and Matrix arithmetic, General support, etc.
- VDM Annotations
  - Specification profiling; user defined theorems; user defined proof attributes, hints and witnesses.

#### Isabelle recursion principles

- Primitive recursion
  - Reduction rules per type constructors; not possible to have pattern matching
- Total function with automatic proof
  - Implemented on top of primitive recursion with extended pattern matching and non-constructive types
  - Proof obligations:
    - Pattern compatibility (i.e. is the pattern given matchable to input type?);
    - Pattern completeness (i.e. are patterns given exhaustive?);
    - Recursion termination (i.e. are recursive calls well-founded?);
- Total (partial) function with user defined proof
  - Copes with any type and extended pattern as well as partial (non-terminating) functions
  - Partial functions require abstract domain predicates assumptions (psimps-rules) everywhere!
  - Pattern compatibility and completeness proofs are mandatory and with reasonable automation support
  - Termination proofs rely on knowledge of the "Size Change Termination" (SCT) principle(s)!

#### Extending translation of VDM recursion to Isabelle

- Translation of recursive functions restricted over VDM sequence parameters only
- Recursive functions over nat parameters are (surprisingly) non-trivial!
- Users requested support for sets, maps, and of course, nat!

AKA: PGL's Napkin @ ISoLA22 :-)



#### **VDM-recursion Isabelle-translation caveats**

- Isabelle (N, Z, Q, R) types are defined constructively through different embeddings
  - $\circ$   $\mathbb{N}$ : defined inductively over two constructors (e.g. zero and suc n)
  - $\circ$  Z: defined algebraically as a quotient type between two  $\mathbb{N}$  (e.g. positive and negative parts)
  - $\circ$  Q: defined algebraically as a quotient type between two Z (e.g. numerator and denominator parts)
  - R: defined algebraically as a "vanishing" Cauchy sequence quotient type
- VDM type widening rules forces the use of maximal type for translation
  - In VDM, for a x:nat, "0-x" becomes "-x" of type int. In Isabelle this is "0: ℕ"!
  - $\circ$   $\qquad$  Translation encode VDM nat as VDMNat (Z) and  $\, \mathbb{Q} \mbox{ as } \mathbb{R}$
  - $\circ$  Isabelle recursion must be constructive (i.e. will require various transformations for non  $\mathbb{N}$ )
- VDM recursion over sets and maps are finite; Isabelle sets are infinite and axiomatic
  - Isabelle requires a constructive well-formed recursive relation; some of which are inferrable
  - Isabelle will impose well-formed proof obligations for sets and map domain's finiteness
- VDM measures are not expressive enough for certain recursion patterns (e.g. ack, recursive types, etc.)
  - Some (complex) recursive measures \*must\* be relational

#### VDM N-factorial example caveats

Recursive VDM factorial

• Trivial recursive measure

Isabelle 🛛 factorial

Automatically discovered measure

Isabelle VDMNat (ℤ) factorial

• Requires user to prove termination

factorial: nat -> nat
factorial(n) == if n = 0 then 1 else n \* factorial(n - 1) measure n;

fun fact' ::  $(\mathbb{N} \Rightarrow \mathbb{N})$  where (fact' n = (if n = 0 then 1 else n \* (fact' (n - 1))))Found termination order: "size <\*mlex\*> {}

im fact :: (VDMNat  $\Rightarrow$  VDMNat) where "fact n = (if n = 0 then 1 else n \* (fact (n - 1)))" Unfinished subgoals: (a, 1, <): 1.  $\wedge$ n. n  $\neq$  0  $\implies$  {n - 1} < {n}; (a, 1, <=): 1.  $\wedge$ n. n  $\neq$  0  $\implies$  nat {n - 1}  $\leq$  nat {n};

## Translation recipe for basic types

- Translate (pre/post) specifications
  - Follows usual VDM translation strategy yet creating definition sets
- Translate recursive definition itself
  - Flag controls whether to try Isabelle discovered proofs (e.g. "fun") or user defined (e.g. "functions")
- Infers recursive relation from VDM AST
  - Flag controls whether to generate lemma about well-formedness of inferred recursive relation
  - Presumes inferred relation is within largest well-formed relation from lower bound (e.g. for  $\mathbb N$  bound is 0)

**definition** largest\_wf\_int\_rel :: " $\mathbb{Z} \Rightarrow (\mathbb{Z} \times \mathbb{Z})$  set" where "largest\_wf\_int\_rel d = {(z', z). d  $\leq$  z'  $\wedge$  z' < z}"

- Sets up pattern consistency, completeness and termination proofs
  - Pattern proofs are almost always found by sledgehammer (unless wicked patterns or mutually recursive calls)
  - Termination proof presumes inferred relation is within largest well-formed relation; up to the user otherwise
  - Harder recursive patterns will require users to define recursive relation as VDM annotations (@IsaMeasure, @Witness)

### Isabelle Demo (or see paper theory sources)

https://github.com/leouk/VDM\_Toolkit/blob/development/plugins/vdm2isa/pub/recursion/RecursiveVDM.thy

https://github.com/leouk/VDM\_Toolkit/blob/development/plugins/vdm2isa/pub/recursion/RecursiveVDM.vdmsl

- 1. Recursion for constructively defined basic types  $(\mathbb{N})$
- 2. Recursion for non-constructively defined basic types ( $\mathbb{Z}$ )
- 3. Recursion for constructively defined structured types (seq)
- 4. Recursion for non-constructively defined structured types (set and maps)
- 5. Complex recursion patterns (e.g. Ackerman, Permutation, Takeuchi, etc.)
- 6. Simple mutual recursion (e.g. odd and even)
- 7. Complex mutual recursion (e.g. N-Queens, Sudoku solvers)

[paper/offline?] [paper/offline] [paper/offline] [paper] [offline]

#### Discussion

- Results
  - Semi-automated (with proof support) translation recipes/strategies for:
    - VDM some numeric types (nat, nat1, int)
    - VDM structured types (sets, sequences, maps)
  - Automation caters for most common VDM recursive situations
    - Decreasing nat/int, set (or map domain), sequence
- Limitations
  - Recursive VDM types (e.g. VDM records for say linked lists)
  - General upper bound for inferred recursive relations works for nat, int and (simple) sets only.
- Complexities (e.g. will require user-defined auxiliary lemmas and their proofs)
  - Recursion over user-defined types
  - $\circ \qquad {\sf Recursion\,where\,recursive\,relation\,is\,outside\,predefined\,space}$
  - Mutual recursion will necessitate handling Isabelle union types

#### Discussion

- Wish list
  - completeness of VDM patterns to enable handling FMI models
  - including Isabelle/LSP back end IDE run in background to attempt proofs automatically
  - implement complex (and mutual) recursion templates (i.e. POC for now)
  - VSCode code lenses integration (e.g. akin to jUnit testing)
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